F E L Classical Theory and BRAFEL project

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- **1. Introductory concepts**
- 2. Classical Model: High gain regime (1D)
- 3. Propagation Effects: Superradiance (SR)
- 4. SASE
- 5. 3D effects and 1D Limit
- 6. BRAFEL project: possible parameters

High Gain Free Electron Laser (FEL)





Self bunching: radiation from incoherent to cooperative spontaneous emission



 $(\theta_i = 2\pi z_j / \lambda)$

 $0 \le |b| \le 1$

 $\sum e^{-i\theta_j}$

High Gain Free Electron Laser: radiation is amplified exponentially in a single-pass ..



Some references

HIGH-GAIN AND SASE FEL with "UNIVERSAL SCALING" Classical Theory

(1) R.B, C. Pellegrini and L. Narducci, Opt. Commun. 50, 373 (1984).
(2) R.B, B.W. McNeil, and P. Pierini PRA 40, 4467 (1989)
(3) R.B, L. De Salvo, P.Pierini, N.Piovella, C. Pellegrini, PRL 73, 70 (1994).
(4, 5) R.B. et al, Physics of High Gain FEL and Superradiance, La Rivista del Nuovo Cimento vol. 13. n. 9 (1990) e vol. 15 n.11 (1992)

QUANTUM THEORY

R. B., N. Piovella, G.R.M.Robb, and M.M.Cola, Europhysics Letters, 69, (2005) 55.

- (7) R.B., N. Piovella, G.R.M. Robb, Quantum Theory of SASE-FEL, NIM A 543, 645 (2005), and proc. FEL Conf. 2005
- (8) R. B., N. Piovella, G.R.M.Robb, and M.M.Cola, Optics Commun. 252, 381 (2005)

See also

(9) F.T.Arecchi, R. Bonifacio, "MB equation", IEEE Quantum Electron., 1 (1965) 169



The resonance condition (longitudinal motion)



• static wiggler λ_{w} "equivalent" to pseudoradiation field

$$\lambda_{i} = \lambda_{w} \frac{1 + \beta_{\Box}}{\beta_{\Box}} \Box 2\lambda_{w} \Rightarrow \lambda_{r} = \lambda_{w} \frac{1 - \beta_{\Box}}{\beta_{\Box}} \Box \frac{\lambda_{w}}{2\gamma_{\Box}^{2}}$$

 $\beta_{\Box} = \beta_r = k/(k+k_w)$

Full resonance condition



 $\lambda_{w} = 4cm; E = 10MeV$

 $\lambda_{w} = 2cm; E = 7GeV$

The Transverse Motion

$$H = \frac{1}{2m} \left(\vec{p} - \frac{e}{c} \vec{A} \right)^2 \qquad \gamma m \vec{v} = \vec{p} - \frac{e}{c} \vec{A} \qquad \vec{A} = \vec{A}_{\perp}(z)$$
$$\gamma m \vec{v}_{\perp} + \frac{e}{c} \vec{A} = \vec{p}_{\perp} \qquad \frac{\partial p_x}{\partial t} = -\frac{\partial H}{\partial x} = 0 \qquad \vec{p}_{\perp} = const = 0$$
$$\vec{\beta}_{\perp} = \frac{\vec{v}_{\perp}}{c} = -\frac{\vec{a}}{\gamma} \Box - \frac{\vec{a}_w}{\gamma}; \quad \left(\vec{a} = \frac{e}{mc^2} \vec{A} \right)$$

 $\vec{a} = \vec{a}_w + \vec{a}_\ell; \ a_w >> a_\ell$

The FEL 1-D model

$$\begin{aligned} \frac{d\gamma mc^2}{dt} &= -e\vec{E}\cdot\vec{v}_{\perp}; \vec{E} = -\frac{\partial\vec{A}}{\partial t} \\ \vec{a} &= \frac{e}{mc^2}\vec{A}; \ \frac{d\gamma}{dt} = \frac{\partial\vec{a}}{\partial t}\cdot\vec{\beta}_{\perp}; \ \vec{\beta}_{\perp} = -\frac{\vec{a}}{\gamma} \Longrightarrow \boxed{\frac{d\gamma}{dt} = -\frac{1}{2\gamma}\frac{\partial|a|^2}{\partial t}} (1) \\ \vec{a} &= \vec{a}_w + \vec{a}_l \qquad \vec{a}_w = \frac{a_w}{\sqrt{2}} \left(\hat{e}e^{ik_wz} + cc\right) \\ \vec{a}_l(z,t) &= \frac{-i}{\sqrt{2}} \left(\hat{e}a(z,t)e^{-i(kz-\omega t)} - cc\right) \qquad \frac{d}{dt} \approx c\frac{d}{dz} \\ \theta &= (k+k_w)z - ckt = (k+k_w)(z-v_pt) \qquad v_p = ck/(k+k_w) = v_r \end{aligned}$$

(1)
$$\frac{d\gamma_j}{dz} = -\frac{k}{2} \frac{a_w}{\gamma_j} \left(ae^{i\theta_j} + cc\right)$$

1

R.B. et al, La Rivista del Nuovo Cimento vol. 13. n. 9 (1990) e vol. 15 n.11 (1992)



Note:
$$\left[\left(1 - \beta_{\Box}^2 \right) = \frac{1 + a_w^2}{\gamma^2} \Longrightarrow 1 - \beta_{\Box} \approx \frac{1 + a_w^2}{2\gamma^2} \right]$$

Maxwell Equations

$$\begin{pmatrix} \frac{\partial^2}{\partial z^2} - \frac{1}{c^2} \frac{\partial^2}{\partial t^2} \end{pmatrix} A(z,t) = -\frac{4\pi}{c} J_{\perp}(z,t) \\ J_{\perp}(z,t) = e \sum_{j=1}^{N} v_{\perp j} \delta(z-z_j) \\ \beta_{\perp j} \approx \frac{a_w}{\gamma_j} \quad \text{(SVEA)} \quad \frac{\partial a}{\partial z} << ka \quad \frac{\partial a}{\partial t} << \omega a \\ (3) \quad \left(\frac{\partial a}{\partial z} + \frac{1}{c} \frac{\partial a}{\partial t} \right) = \frac{k}{2} \left(\frac{\omega_p}{ck} \right)^2 \frac{a_w}{\gamma_r} \langle e^{-i\theta} \rangle \quad \substack{\omega_p = \sqrt{\frac{e^2 n_e}{\varepsilon_0 m}} \\ \langle e^{-i\theta} \rangle = \frac{1}{N} \sum_{j=1}^{N} e^{-i\theta_j} = b \\ (1) \quad \frac{d\gamma_j}{dz} = -\frac{k}{2} \frac{a_w}{\gamma_j} \left(ae^{i\theta_j} + cc \right) \\ (2) \quad \frac{d\theta_j}{dz} = 2k_w \left(\frac{\gamma_j - \gamma_r}{\gamma_r} \right) \\ \text{in (1) and (2)} \quad \left[\frac{d}{dz} = \frac{\partial}{\partial z} + \frac{1}{v_{\square}} \frac{\partial}{\partial t} \right] 1 \text{-D FEL model with propagation}$$

Classical model with "Universal" Scaling

$$\frac{\partial \theta_{j}}{\partial \overline{z}} = \overline{p}_{j}; \frac{\partial p_{j}}{\partial \overline{z}} = -(Ae^{i\theta_{j}} + c.c.); \frac{\partial A}{\partial \overline{z}} + \frac{\partial A}{\partial z_{1}} = \left\langle e^{-i\theta} \right\rangle = \frac{1}{N} \sum_{j=1}^{N} e^{-i\theta_{j}}$$

no free parameters

A: scattered field

Momentum

$$\overline{p} = \frac{\gamma - \gamma_r}{\rho \gamma_r}$$

"Collective FEL parameter"

R. B, C. Pellegrini and L. Narducci, Opt. Commun. 50, 373 (1984).

$$\overline{z} = \frac{z}{L_g}; L_g \equiv \frac{\lambda_w}{4\pi\rho}$$

$$z_1 = \frac{z - v_r t}{\beta_r L_c}; L_c \equiv \frac{\lambda_r}{4\pi\rho}$$

$$0 \rightarrow$$
 Steady State (S.S.) model

 $\rho = 10^{-3}, I = 10^{2} A,$ $E = 10 MeV, |A|^{2} = 1, P_{r} = 1MW!$



 $\rho |A|^{2} = \frac{\hbar \omega n_{ph}}{n \, \gamma m c^{2}} = \frac{P_{r}(MW)}{I(A)E(MeV)} = \eta$

S.S. Model : Phase Shift and Gain

$$\dot{\theta}_{j} = p_{j} \qquad \dot{p}_{j} = -(Ae^{i\theta_{j}} + cc) \qquad \dot{A} = \langle e^{-i\theta} \rangle$$

$$A = ae^{i(\varphi - \pi/2)}$$

$$\ddot{\theta}_{j} = -2a\sin(\theta_{j} + \varphi) \qquad V = -2a\cos(\theta_{j} + \varphi)$$

$$\dot{a} = \langle \sin(\theta_{j} + \varphi) \rangle$$

$$\dot{\phi} = \frac{\langle \cos(\theta_{j} + \varphi) \rangle}{a}$$

t = 0 : $\varphi = 0$ and small bunching on $\theta = 0 \Rightarrow \dot{a} = 0$ NO GAIN t > 0 : $\dot{\varphi} > 0 \Rightarrow \dot{a} \approx \sin \varphi > 0$ GAIN

FEL S.S. instability animation



Exponential instability as e^{z/L_g} up to $|A| \approx 1$ (saturation) independently on initial value Possibility of start up from noise (SASE) $\sigma(p) = \sigma(\gamma) / \rho \gamma = 1$ Initial energy spread smaller than ρ

Phase Shift and Optical Guiding (O.G.) $(\dot{\phi} > 0 \Rightarrow n > 1)$

$$A = ae^{i\varphi} \Longrightarrow E \propto e^{i[kz - \omega t + \varphi(z)]}$$

$$\Rightarrow k \rightarrow k + \dot{\varphi} \Rightarrow \mathbf{v}_p = \frac{ck}{k + \dot{\varphi}}$$

$$\omega = ck$$

$$n = \frac{c}{v_p} = 1 + \frac{\dot{\varphi}}{ck} > 1$$

e-beam = optical fiber \Rightarrow Optical Guiding

Exponential gain and phase derivative



Linear Theory (S.S.): exponential gain $\dot{\theta}_i = p_i \quad \dot{p}_i = -(Ae^{i\theta} + cc) \quad \dot{A} = \langle e^{-i\theta} \rangle + i\delta A$ $p(0) = A(0) = \langle e^{-i\theta} \rangle = 0; equilibrium \qquad \langle p \rangle + |A|^2 = \cos t$ Linear theory $\ddot{A} - i\delta \ddot{A} - iA = 0$ $A \propto A_0 e^{i\lambda t}$ $A \propto e^{(\mathrm{Im}\,\lambda)\overline{t}}$ runaway solution $(\lambda - \delta)\lambda^2 + 1 = 0$ $\delta = \frac{\gamma_0 - \gamma_r}{\rho \gamma_r}$ $\text{Im}\lambda = \sqrt{3/2}$ Max gain $\delta = 0$ 1.0 $\sqrt{3}/2$ 0.8- $|A|^2 \propto e^{\sqrt{3t}} = e^{\sqrt{3t}} \left[\frac{L_g}{L_g} - \frac{\lambda_w}{4\pi\rho} \right]$ 0.6 0.2 Gain bandwidth $\Delta \gamma / \gamma \Box 2\rho$ 0.0+ -10 -5 ò 5 10 δ

End first lecture

Propagation effect: Superradiance and SASE

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Slippage = propagation effects

The slippage length L_{s} when the electron travel Δz

is
$$L_s = (c - v_{\Box}) \frac{\Delta z}{v_{\Box}}$$
 $\Delta z = \lambda_w \Longrightarrow L_s = \lambda_w \left(\frac{1}{\beta_{\Box}} - 1\right) = \lambda_r$

Resonance: the slippage is λ for each $\lambda_{w,}$ maintaining the phase.

Total slippage: $L_s = \frac{L_w}{\lambda_w} \lambda_r$ negligible if $L_s << L_b$

Slippage in a gain length ($L_w = L_g$): $L_c = \lambda_r / (4\pi \rho_F)$ cooperation length

slippage is fundamental when $L_b \leq L_c$ and in SASE

$$\left(L_g = \lambda_w / (4\pi\rho_F)\right)$$

Classical model with "Universal" Scaling

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no free parameters $\rho |A|^2 = \frac{\hbar \omega n_{ph}}{n_e \gamma mc^2} = \frac{P_r(MW)}{I(A)E(MeV)} = \eta$

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Momentum

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$$\rho = 10^{-3}, I = 10^{2} A,$$

 $E = 10 MeV, |A|^{2} = 1, P_{r} = 1MW!$

$$\rho = \frac{1}{\gamma_r} \left(\frac{a_w \omega_p}{4ck_w} \right)^{2/3} \propto n_e^{1/3} = \frac{1}{d_e}$$

STEADY STATE AND SUPERRADIANT INSTABILITY, Long and Short Bunch (uniform seed)

Evolution of radiation time structure in the electron rest frame



LONG PULSE (uniform excitation) $L=30L_{C}$, resonant ($\delta=0$)

R. Bonifacio, B.W. McNeil, and P. Pierini PRA 40, 4467 (1989)



SS and SR instability

LONG PULSE (uniform excitation) L=30L_C , detuned (δ =2)

R. Bonifacio, B.W. McNeil, and P. Pierini PRA 40, 4467 (1989)



Only SuperRadiant Instability

Short Bunch (uniform seed)

Evolution of radiation time structure in the electron rest frame



Only SuperRadiant Instability

PROPAGATION EFFECTS IN FELs : SUPERRADIANCE

Particles at the trailing edge of the beam never receive radiation from particles behind them: they just radiate in a SUPERRADIANT PULSE or SPIKE which propagates forward.

if $L_b \ll L_c$ the SR pulse remains small (weak SR).

if $L_b >> L_c$ the weak SR pulse gets amplified (strong SR) as it propagates forward through beam with no saturation.



The SR pulse is a self-similar solution of the propagation equation.

Soliton-Like solution and Superradiant Regime

0.10

R.B. et al, Physics of High Gain FEL and Superradiance,

La Rivista del Nuovo Cimento vol. 13. n. 9 (1990) e vol. 15 n.11 (1992)

CLASSICAL REGIME:

$$A(z_{1},\overline{z}) = z_{1}A_{1}(y)$$

$$y = \sqrt{z_{1}}(\overline{z} - z_{1})$$
width $\propto \frac{1}{\sqrt{z_{1}}}$

$$|A|^{2} \propto z_{1}^{2} \propto N^{2}$$
 SUPERRADIANCE

SELF SIMILAR SOLUTION

30

25

Self-similar scaling for long pulses (L=30 L_c)

 $A(z_1,\overline{z}) \propto z_1$



Propagation and SuperRadiant (SR) Instability

SS instability in the leading edge $(z_1 > \overline{z})$ electrons radiates in front what they get from the back Trailing edge $(z_1 < \overline{z})$ particles get nothing from the back: just radiate in front a SUPERRADIANT PULSE

if $L_b \leq L_c$ the SR pulse remains small (weak SR). if $L_b >> L_c$ the weak SR pulse gets amplified (strong SR) with no saturation.

The SR pulse is a soliton like self similar solution of the propagation equation (ref. 2, 4, 5). The above description is true for coherent excitation, NOT FOR SASE, in which SS instability never occur.

Descriptions of SASE as SS instability starting from noise are wrong.

SASE

Ingredients:

- i) Start up from noise
- ii) Propagation effects (slippage)
- iii) Superradiant instability: (no steady state instability) Self Amplified Superradiant Emission

(RB, L. De Salvo, P.Pierini, N.Piovella, C. Pellegrini, PRL 73 (1994) 70)

The electron bunch behaves as if each cooperation length would radiate independently a weak SR spike which gets amplified propagating on the other electrons with no saturation. Spiky time structure and spectrum.





SASE

reprinted from PRL 73 (1994) 70

$$(L_c = \lambda / 4\pi\rho)$$

Time structure:

Almost chaotic behavior:

number of random spikes goes like L_b / L_c .

Spectrum:

is just the envelope of a series of narrow random spikes

If $L_b \leq L_c$ a single SR spike.

At short wavelengths $L_b >> L_c$ => many random spikes.

Total energy does not saturate (at 1.4).

DRAWBACKS OF SASE

Time profile has many random spikes $(n = L/L_c)$

Broad and noisy spectrum at short wavelengths (X-ray FEL)

from DESY (Hamburg) for the SASE experiment (simulation)



SASE Short bunch Lb = Lc



Short bunch superradiance total energy



In conclusion SASE gives incoherent spiking unless $L_b \leq 2\pi L_c$

see BRAFEL

In conclusion SASE gives incoherent spiking unless

$$L_b \leq 2\pi L_c$$
 see BRAFEL
1D limit

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1D: $\sigma = \infty$, plane wave, zero emittance

In reality: radiation beam and electron beam focused to a cross section σ , diverges on a length Z_r and β :

$$Z_{r} = \frac{4\pi\sigma^{2}}{\lambda_{r}} \qquad \beta = \frac{\gamma\sigma^{2}}{\varepsilon_{n}}$$
$$\frac{Z_{r}}{\beta} = \frac{4\pi}{\gamma\lambda_{r}\varepsilon_{n}} \equiv \varepsilon_{1} \leq 1 \quad \text{(Pellegrini criterium)} \quad \varepsilon_{n} = \frac{\varepsilon_{1}\gamma\lambda_{r}}{4\pi}$$

The electron beam does not diverges in $Z_r < \beta$.

1D LIMIT:
$$L_g \leq Z_r \leq \beta$$

In a planar wiggler, for a matched beam(*): $\sigma^2 = \frac{\lambda_w \varepsilon_n}{\sqrt{2\pi a_w}}$

(*) Ted Scharlemann, Proc. INFN School on EM Radiation & Particle Beam Acceleration, North Holland, 95 (1989)

We take ε_n maximum ($\varepsilon_1 = 1$), to satisfy the 1D limit at 100 μ m



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The BRAFEL Conceptual design Rodolfo Bonifacio, Brian McNeil

SASE Classical FEL in Short Bunch Superradiant regime far infrared source with $\lambda_r = 100 \ \mu m$ (tunable)



 $L_b \leq 2\pi L_c$ SASE Pure Superradiance

$$\rho = \frac{2.6}{\gamma} \left[\frac{I(A)a_w^2 \lambda_w^2(cm)}{\sigma^2(\mu m)} \right]^{1/3}$$

Tunability: $a_w > 1$, change resonance changing a_w , changing the gap (B_w)

Given Parameters:				
lambda_w	=	4 cm		
a_w	=	1		
gamma	=	20		
current	=	200 A (75 A)		
Derived Parameters:				
lambda_r	=	100 micron		
epsilon_n	=	159.155 mm-mrad		
sigma_r	=	1.19704 mm		
rho	=	0.0130442 (0.00940648)		
l_g	=	14.0888 cm (19.5372 cm)		
Z_R	=	18.0063 cm		
l_b_max	=	3.83313 mm (12.7859 ps)		
		(5.31548 mm (17.7306 ps))		

Given Pa	rameters:
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lambda_w	= 4 cm
a_w	= 1
gamma	= 20
current	= 600 A

Derived Parameters	5:
lambda_r =	= 100 micron
epsilon_n =	= 159.155 mm-mrad
sigma_r =	= 1.19704 mm
rho =	= 0.018813
1_g =	= 9.7686 cm
Z_R =	= 18.0063 cm
l_b_max =	= 2.65774 mm (8.86528 ps)

Given Parameters:

lambda_w	= 6.4 cm
a_w	= 1, 2, 3
gamma	= 40
current	= 300 A

Derived Parameters:

lambda_r	= 40, 100, 200 micron
epsilon_n	= 127.324, 318.31 ,636.62 mm-mrad
sigma_r	= 1.74838 mm
rho	= 0.0094, 0.014, 0.016
1_g	= 31.3, 21.2, 17.8 cm
Z_R	= 57.6, 28.8, 19.2 cm
l_b_max	= 2.1 mm (7.1ps), 3.6 mm (12.0ps)
	6.1 mm (20.2 ps)

Using:
$$\rho = \frac{5.6 \times 10^{-3}}{\gamma} \left(\frac{Ia_w^2 \lambda_w^2}{\sigma_r^2}\right)^{\frac{1}{3}} - SI$$

for a transverse Gaussian beam with RMS radius of σ_r

Now: $\varepsilon_{n} = \frac{\gamma \sigma_{r}^{2}}{\beta} \quad \text{and} \quad \beta = \frac{f \gamma}{a_{w} k_{w}} \quad \Rightarrow \quad \sigma_{r}^{2} = \frac{f \varepsilon_{n}}{a_{w} k_{w}}$ Let: $\varepsilon_{n} = \varepsilon_{1} \frac{\gamma \lambda_{r}}{4\pi} \quad \Rightarrow \quad \sigma_{r}^{2} = \frac{f \varepsilon_{1} \gamma \lambda_{r}}{4\pi a_{w} k_{w}} = \frac{f \varepsilon_{1} \gamma \lambda_{r} \lambda_{w}}{8\pi^{2} a_{w}} = \frac{f \varepsilon_{1} \gamma \lambda_{w}^{2} (1 + a_{w}^{2})}{16\pi^{2} a_{w} \gamma^{2}}$ Where resonance relation is used. $\lambda_{r} = \frac{\lambda_{w}}{2\gamma^{2}} (1 + a_{w}^{2})$

Substitute for
$$\sigma_r^2$$
 into expression for ρ :

$$\rho = \frac{5.6 \times 10^{-3}}{\gamma} \left(\frac{Ia_w^2 \lambda_w^2 16\pi^2 a_w \gamma^2}{f \varepsilon_1 \gamma \lambda_w^2 (1 + a_w^2)} \right)^{\frac{1}{3}} = \frac{5.6 \times 10^{-3} (16\pi^2)^{\frac{1}{3}} a_w}{f^{\frac{1}{3}}} \left(\frac{I}{\varepsilon_1 \gamma^2 (1 + a_w^2)} \right)^{\frac{1}{3}}$$

$$= \frac{3 \times 10^{-2} a_w}{f^{\frac{1}{3}}} \left(\frac{I}{\varepsilon_1 \gamma^2 (1 + a_w^2)} \right)^{\frac{1}{3}}$$

for the case $f = \sqrt{2}$ assumed henceforth.

$$\Rightarrow \rho = 2.7 \times 10^{-2} a_w \left(\frac{I}{\varepsilon_1 \gamma^2 \left(1 + a_w^2 \right)} \right)^{\frac{1}{3}}$$

The gain length:
$$l_{g} = \frac{\lambda_{w}}{4\pi\rho} = 2.95 \frac{\lambda_{w}}{a_{w}} \left(\frac{\varepsilon_{1}\gamma^{2}\left(1+a_{w}^{2}\right)}{I}\right)^{\frac{1}{3}}$$
Defining:
$$L_{g} = \frac{l_{g}}{\sqrt{3}} \implies L_{g} = 1.7 \frac{\lambda_{w}}{a_{w}} \left(\frac{\varepsilon_{1}\gamma^{2}\left(1+a_{w}^{2}\right)}{I}\right)^{\frac{1}{3}}$$
Define the Rayleigh range:
$$Z_{R} = \frac{4\pi\sigma_{r}^{2}}{\lambda_{r}} = \frac{8\pi\gamma^{2}\sigma_{r}^{2}}{\lambda_{w}\left(1+a_{w}^{2}\right)}$$
The ratio:
$$\frac{Z_{R}}{l_{g}} = \frac{8\pi\gamma^{2}\sigma_{r}^{2}}{\lambda_{w}\left(1+a_{w}^{2}\right)} \times \frac{a_{w}}{2.95\lambda_{w}} \left(\frac{I}{\varepsilon_{1}\gamma^{2}\left(1+a_{w}^{2}\right)}\right)^{\frac{1}{3}}$$

$$\frac{Z_{R}}{l_{g}} = \frac{8\pi\gamma^{2}}{\lambda_{w}\left(1+a_{w}^{2}\right)} \times \frac{\sqrt{2}\varepsilon_{1}\gamma\lambda_{w}^{2}\left(1+a_{w}^{2}\right)}{16\pi^{2}a_{w}\gamma^{2}} \times \frac{a_{w}}{2.95\lambda_{w}} \left(\frac{I}{\varepsilon_{1}\gamma^{2}\left(1+a_{w}^{2}\right)}\right)^{\frac{1}{3}}$$

$$\frac{Z_{R}}{l_{g}} = 7.6 \times 10^{-2}\varepsilon_{1}^{\frac{2}{3}} \left(\frac{\gamma I}{\left(1+a_{w}^{2}\right)}\right)^{\frac{1}{3}}$$

Quantum FEL theory

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Canonical Quantization

$$\dot{\theta} = \frac{p}{\overline{\rho}} = \frac{\partial H}{\partial p}; \quad \dot{p} = -\overline{\rho} \left(A e^{i\theta} + cc \right) = -\frac{\partial H}{\partial \theta} \left[p = \overline{\rho} \,\overline{p} = \frac{p_z}{\hbar k} \right]$$

$$H = \frac{p^{2}}{2\overline{\rho}} - i\overline{\rho} \left(Ae^{i\theta} - cc\right) \qquad \theta = kz$$

Quantization $[z, p_{z}] = i\hbar$
 $p \rightarrow \hat{p} = -i\frac{\partial}{\partial\theta}; [\theta, \hat{p}] = i \qquad H \rightarrow \hat{H}$

The QFEL model for the matter wave $\,\Psi\,$

2

$$i\frac{\partial\Psi}{\partial\overline{z}} = \hat{H}\Psi = -\frac{1}{2\overline{\rho}}\frac{\partial^2\Psi}{\partial\theta^2} - i\overline{\rho}\left(Ae^{i\theta} + cc\right)\Psi$$

Derived from Q-field theory by G. Preparata (Phys. Rev. A, 38 (1988), 233)

QFEL propagation model

 $\Psi(\theta, \overline{z}, z_1)$ matter wave

$$i\frac{\partial\Psi}{\partial\overline{z}} = -\frac{1}{2\overline{\rho}}\frac{\partial^{2}}{\partial\theta^{2}}\Psi - i\overline{\rho}\left[A(\overline{z}, z_{1})e^{i\theta} - c.c.\right]\Psi \qquad \left(\hat{p} = -i\frac{\partial}{\partial\theta}\right)$$
$$\frac{\partial A}{\partial\overline{z}} + \frac{\partial A}{\partial z_{1}} = \left\langle e^{-i\theta}\right\rangle = \frac{1}{2\pi}\int_{0}^{2\pi}d\theta \left|\Psi(\theta, z_{1}, \overline{z})\right|^{2}e^{-i\theta}$$
$$\frac{\mathsf{QFEL}}{\mathsf{parameter}} \quad \overline{\rho} = \rho_{F}\frac{\gamma mc}{\hbar k} = \frac{\Delta p}{\hbar k} \quad \overline{\rho}\left|A\right|^{2} = n_{ph}/n_{e}$$

R. B., N. Piovella, G.R.M. Robb,, NIM A 543 (2005) 645

 $\left(\frac{\partial A}{\partial z_1}=0\right)$; Q. F. T. by G. Preparata[†] (Phys. Rev. A, 38 (1988), 233)

The multiple scaling method See ref. (7)

$$\begin{split} \theta &= (k + k_w) \left(z - v_r t \right) \qquad z_1 = \frac{z - v_r t}{\beta_r L_c}; z_1 = \varepsilon \theta \qquad \varepsilon = 2\rho_F = \frac{\lambda}{2\pi L_c} <<1 \\ i \frac{\partial \Psi \left(\theta, \overline{t}\right)}{\partial \overline{t}} &= -\frac{1}{\overline{\rho}} \frac{\partial^2 \Psi \left(\theta, \overline{t}\right)}{\partial \theta^2} - \frac{i \overline{\rho}}{2} \left[A \left(\theta, \overline{t}\right) e^{i\theta} - c.c. \right] \Psi \left(\theta, \overline{t}\right) \\ \left(\frac{\partial}{\partial \overline{t}} + \frac{\partial}{\varepsilon \partial \theta} \right) A \left(\theta, \overline{t}\right) &= \left| \Psi \left(\theta, t \right) \right|^2 e^{-i\theta} \\ \frac{\partial}{\partial \theta} \rightarrow \frac{\partial}{\partial \theta} + \varepsilon \frac{\partial}{\partial z_1} \qquad \Psi = \Psi^{(0)} + \varepsilon \Psi^{(1)} + \dots \qquad A = A^{(0)} + \varepsilon A^{(1)} + \dots \\ i \frac{\partial \Psi^{(0)} \left(\theta, z_1, \overline{t}\right)}{\partial \overline{t}} &= -\frac{1}{\overline{\rho}} \frac{\partial^2}{\partial \theta^2} \Psi^{(0)} \left(\theta, z_1, \overline{t}\right) - \frac{i \overline{\rho}}{2} \left(A^{(0)} (z_1, \overline{t}) e^{i\theta} - cc \right) \Psi^{(0)} (\theta, z_1, \overline{t}) \right) \\ &= \frac{\partial A^{(0)}}{\partial \theta} = 0, \frac{\partial A^{(1)}}{\partial \theta} = \left| \Psi^{(0)} \right|^2 e^{-i\theta} - \left(\frac{\partial A^{(0)}}{\partial \overline{t}} + \frac{\partial A^{(0)}}{\partial z_1} \right) \\ \\ \text{Integrating between 0 and } 2\pi \text{ and assuming periodic boundary conditions:} \end{split}$$

$$\frac{\partial A^{(0)}(z_1,\overline{t})}{\partial \overline{t}} + \frac{\partial A^{(0)}(z_1,\overline{t})}{\partial z_1} = \frac{1}{2\pi} \int_0^{2\pi} d\theta \left| \Psi^{(0)}(\theta,z_1,\overline{t}) \right|^2 e^{-i\theta}$$

Classical Limit: $\overline{\rho} \rightarrow \infty$

One can prove that the Schroedinger equation for the QFEL model reduces to the classical Vlasov Equation for the Quantum Wigner function in the limit: $\overline{\rho} \rightarrow \infty$

In the classical limit, with universal scaling, no dependence on $\overline{\rho}$

R. B., N. Piovella, G.R.M. Robb, NIM A 543 (2005) 645





R. B., N. Piovella, G.R.M. Robb, NIM A 543 (2005) 645

The Momentum Representation

$$\psi(\theta, z_1, \overline{z}) = \frac{1}{\sqrt{2\pi}} \sum_{n=-\infty}^{\infty} c_n(z_1, \overline{z}) e^{in\theta}; \ (\hat{p} \to n\hbar k)$$

 $|c_n|^2$ is the probability that an electron has a momentum $n\hbar k$



Linear Theory: QM
$$(\lambda - \Delta) \left(\lambda^2 - \frac{1}{4\overline{\rho}^2} \right) + 1 = 0 \quad \left(e^{i\lambda \overline{z}} \right)$$

As if classical rect. $2\sigma_0(\overline{p}) = 1/\overline{\rho}$, i.e., $2\sigma_0(p) = \hbar k$ dist.



 $1/2\overline{\rho} = 0.(a), 0.5$ (b), 3 (c), 5 (d), 7 (e) and 10 (f).

The Discrete frequencies as in a cavity

$$\left(\lambda - \Delta\right)\left(\lambda^2 - \frac{1}{4\overline{\rho}^2}\right) + 1 = 0 \quad \Delta = \delta + \frac{n}{\overline{\rho}} = \frac{1}{2\overline{\rho}} \longrightarrow \delta_n = \frac{1}{2\overline{\rho}} - \frac{n}{\overline{\rho}}\left(\delta \propto \omega - \omega_{sp}\right)$$



Continuous classical limit $4\sqrt{\overline{\rho}} \ge 1/\overline{\rho} \to \overline{\rho} \ge 0.4 \left(4\overline{\rho}^{3/2} \ge 1\right)$

Quantum limit : discrete resonance as in a cavity





Quantum		Classical
$\overline{ ho}=0.05$	$L / L_{c} = 30$	$\overline{ ho} = 5$

Evolution of radiation time structure in the electron rest frame



Simulation using QFEL model: Momentum distribution (average) Quantum regime $\overline{\rho} = 0.1$ $L/L_c = 30$ Classical regime $\overline{\rho} = 5$



Classical behaviour : both n<0 and n>0 occupied Quantum behaviour : sequential SR decay, only n<0





Conclusions

- Classical description of SASE valid IF $\overline{\rho} >> 1$
- IF $\overline{\rho} \leq 1$ one has quantum SASE: the gain bandwidth decreases as $4\sqrt{\overline{\rho}}$ and $L_c \propto 1/\sqrt{\overline{\rho}}$ ine narrowing, temporal coherence.
- Multiple lines Spectrum:

– separation $1/\overline{\rho}$, linewidth $4\sqrt{\overline{\rho}}$

• Classical limit: increasing $\overline{\rho}$ separation \leq linewidth $(\overline{\rho} \geq 0.4) \rightarrow$ continuous spiky classical spectrum.

For experimental setup see R.B., NIM A 546 (2005) 634, and this proceeding

Quantum $\overline{\rho} = 0.1$

Classical $\overline{\rho} = 5$



*J*² 01

Quantum Free Electron Laser QFEL

R. Bonifacio^{*}(80%), M.M. Cola⁺(60%), N. Piovella⁺(70%), L. Serafini(10%), L. Volpe⁺(80%) , INFN-Milano [FTE 3.0]

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 C. Natoli(20%), L. Palumbo(10%), A. Schiavi^(n.a.), A. Tenore(30%)
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Recenti studi [1] hanno dimostrato l'esistenza di un nuovo **REGIME QUANTISTICO**

del FEL SASE (Self Amplified Superradiant Emission) per la produzione di raggi X coerenti (λ =1 Å)

$$\overline{\rho} = \rho_{FEL} \left(\frac{mc^2 \gamma}{\hbar \omega} \right)$$

 $\overline{\rho}$ = numero medio fotoni emessi per elettrone $\bar{\rho} > 1$ classical SASE (spiking incoerente) $\overline{\rho}$ < 1 quantum SASE (coerente)





[1] R. Bonifacio, N. Piovella, G.R.M. Robb, "Quantum theory of SASE FEL", NIMA 543 (2005) 645.

QFEL Model: Momentum distribution and spectrum Quantum regime $\overline{\rho} = 0.1$ $L/L_c = 30$ Classical regime $\overline{\rho} = 5$



Classical behaviour : both n<0 and n>0 occupied Quantum behaviour : sequential SR decay, only n<0





Quantum $\overline{\rho} = 0.1$

Classical $\overline{\rho} = 5$



*J*² 01

Experimental Evidence of Quantum Dynamics – The LENS Experiment

- Production of an elongated ⁸⁷Rb BEC in a magnetic trap
- Laser pulse during first expansion of the condensate
- Absorption imaging of the momentum components of the cloud



Experimental values:

 $\Delta = 13 \text{ GHz}$

R. B., F.S. Cataliotti, M.M. Cola, L. Fallani, C. Fort, N. Piovella, M. Inguscio J. Mod. Opt. **51**, 785 (2004) and Optics Comm. **233**, 155(2004) and Phys. Rev. A 71, 033612 (2005)

The experiment

Temporal evolution of the population in the first three atomic momentum states during the application of the light pulse.





Svantaggi FEL SASE in regime classico (DESY, SLAC):
richiede Linac ai GeV (Km) e ondulatori molto lunghi (100 m)
Spettro della radiazione largo e caotico (spikes)
Costo elevato (10⁹ U\$) e grandi dimensioni

Vantaggi FEL SASE in regime quantistico:

- quantum purification (spettro monocromatico)
- •Possibilità di usare un ondulatore laser
- •Costo ridotto (10⁶ U\$) e Apparato COMPATTO (m)





Ingredienti del Quantum FEL SASE:

- Fascio di elettroni 5-100 MeV, 100 A,
 - $\varepsilon_n < 2 \text{ mm mrad}$
- Laser wiggler a 0.8 micron a 10-100 TW (Ti:Sa)

Entrambi sono in via di realizzazione nel progetto speciale SPARC/PLASMON_X
Preliminary parameters list for QFEL



Electron beam

E [MeV]	20		
I [A]	40		
ε _n [μm]	1		
δγ/γ [%]	0.03		
β* [mm]	0.5-1		

Laser beam			
λ [μm]	0.8		
P [TW]	1		
E [J]	4		
w _o [μm]	5-10		
Z _r [µm]	80-300		

QFEL beam

$\lambda_r[A]$	1.7
P _r [MW]	0.3



CDR PLASMONX



Caratteristiche della radiazione QFEL (stime preliminari):

- ~10¹⁰ fotoni a λ ~1 Å per qualche ps
- monocromaticità ($\Delta\lambda/\lambda < 10^{-4}$)



I primi studi preliminari sono basati su un modello quantistico 1D

E' necessario estendere lo studio analitico/numerico del modello 1D a un <u>modello 3D quantistico</u> per dimostrare la fattibilità di un esperimento di Quantum SASE da eseguire ai LNF

Finanziamenti richiesti per MISSIONI e CALCOLO

COMPITI DEI DIVERSI GRUPPI PARTECIPANTI

 <u>Sezione di Milano</u>: studio degli effetti di energy spread del fascio di elettroni sul guadagno FEL in regime quantistico.
 Estensione del modello quantistico unidimensionale a un modello tridimensionale che includa gli effetti di emittanza trasversa e longitudinale del fascio di elettroni e la variazione trasversa dell'ondulatore laser.

2) Sezione di Frascati: ottimizzazione della dinamica del fascio ad alta brillanza del fotoiniettore di SPARC per l'esperimento QFEL. Sviluppo di un codice 3D per la simulazione dell'interazionen FEL in regime quantistico.

3) <u>Sezione di Napoli</u>: studio della dinamica trasversale del fascio di elettroni in presenza del campo elettromagnetico totale nel FEL (campo dell'ondulatore + campo generato)tenendo conto di eventuali modulazioni dell'emittanza causate dal damping radiativo e dall'eccitazione quantistica nel framework del TWM.





The Frascati Laser for Acceleration and Multidisciplinary Experiments



laser pulses: 50 fs, 800 nm >100 TW @10 Hz

Struttura	MI	ME	Cons	Inv.	Totale
LNF [1.6]	2	6	0.5	4	12.5
MI [3.0] (2.5)	7	14	1	0	22
NA [1.1]	3	2	1	0	6
Tot [5.7]	12	22	2.5	4	40.5



Thank you and see you in my office in Brazil

BRAFEL Possible Experimental Parameters

Beam parameters (Pedro email) from the gun $L_b = 30 \ \mu m \ (\tau = 100 \ fs), \ I_p = 750 \ A, \quad \sigma = 3 \ mm$ $\epsilon_n = 4 \ mm \ mrad, \ \gamma \approx 3 \ MeV, \ \Delta \gamma / \gamma \approx 10^{-2}$

FEL parameters

 $\lambda_r = 100 \ \mu m$, $\lambda_w = 3 \ cm$, $\gamma = 17 \ (E = 8.5 \ MeV)$, $a_w = 1$, $B_w = 0.3 \ T$ $\rho \approx 5 \ 10^{-2}$, $L_g = 7.5 \ cm$, $L_c = 200 \ \mu m \ (L_c >> L_b)$ Superradiance $L_w \ge 10 \ L_g = 75 \ cm$ Note: $L_b < \lambda_r$: coherent spontaneous emission (CSE)

Alternative parameters: $L_b = 300 \ \mu m \ (\tau=1ps)$, $I_p = 75 \ A$, $\rho \approx 2.5 \ 10^{-2}$, $L_g = 15 \ cm$, $L_c = 400 \ \mu m$, $(L_c >> L_b)$ Superradiance BUT $L_b >> \lambda_r$, NO CSE $L_w \ge 10 \ L_g = 1.5 \ m$ Rayleigh range, $Z_r = 1 \ m >> L_g$, OK! $P_r \approx 1.5 \ MW$ in 5 ps (to be checked numerically) No similar source available at 100 μm .

Large harmonic bunching in a HGFEL

R. B, L. De Salvo, P. Pierini, NIM A293, 627 (1990)

$$\frac{d\theta_{j}}{d\overline{z}} = p_{j}$$

$$\frac{dp_{j}}{d\overline{z}} = -\sum_{h} F_{h}(\xi) (A_{h}e^{ih\theta_{j}} + c.c.)$$

$$\frac{dA_{h}}{d\overline{z}} = F_{h}(\xi) \left\langle e^{-ih\theta_{j}} \right\rangle$$

$$F_{h}(x) = (-1)^{(h-1)/2} \left[J_{(h-1)/2}(hx) - J_{(h+1)/2}(hx) \right]$$

 $\xi = a_w^2 / (1 + a_w^2)^2$

 $b_h \equiv \left\langle \exp(-ih\theta) \right\rangle$

$$F_{h}(x) = (-1)^{(h-1)/2} \left[J_{(h-1)/2}(hx) - J_{(h+1)/2}(hx) \right]$$

$$\xi = a_w^2 / (1 + a_w^2) 2$$



The driving mechanism for Large Harmonic Bunching Linearizing

$$\frac{dA_{1}}{d\overline{z}} = F_{1}b_{1} \quad (1) \qquad \frac{d^{3}b_{1}}{d\overline{z}^{3}} = iF_{1}^{2}b_{1} \quad (2) \qquad \frac{d^{2}b_{2}}{d\overline{z}^{2}} = 2iF_{1}A_{1}b_{1} \quad (3) \qquad \frac{dA_{3}}{d\overline{z}} = F_{3}b_{3} \quad (4)$$

$$\frac{d^{3}b_{3}}{d\overline{z}^{3}} = 3iF_{3}^{2}b_{3} + 3iF_{1}\frac{dA_{1}b_{2}}{\sqrt{d\overline{z}}} \qquad (5)$$

$$\frac{d^{3}b_{3}}{d\overline{z}^{3}} = 3iF_{3}^{2}b_{3} + \frac{9}{2}F_{1}A_{0}^{3}e^{3A_{1}\overline{z}} \qquad (5)$$

$$\lambda_{1} = \frac{\sqrt{3}}{2}F_{1}^{2/3}$$

$$k_{1} \cdot b_{1} \stackrel{(1)(2)}{\longrightarrow} A_{1}, b_{1} \propto e^{\lambda_{1}\overline{z}} \qquad b_{2} \cdot A_{1} \stackrel{(5)(4)}{\longrightarrow} b_{3} \propto e^{3\lambda_{1}\overline{z}}$$
In general (see later):
$$|b_{n}(\overline{z})| \approx |b_{1}(\overline{z})|^{n} \propto e^{nA_{1}\overline{z}} \quad \text{large gain}$$

$$hut_{1} \text{ larger lathereov} \rightarrow \text{maior correct area of a second or even of the second of the se$$

but: larger lethargy \rightarrow noise amplification and energy spread: exponential gain?



FIG. 4. FEL-induced energy spread, σ as a function of the dimensionless modulator length \bar{z} for $A_0 = 10^{-3}$.







Proof of the linear driving mechanism deep into the linear regime!



The multiple wiggler scheme

R. B., L. De Salvo, P. Pierini, E. T. Scharlemann, NIM A 296, 787 (1990)

I. First wiggler: buncher seed at $\lambda_1 = \frac{\lambda_w (1 + a_w^2)}{2\gamma^2};$

II. Second wiggler:
$$\lambda_n = \frac{\lambda_1}{n} = \frac{\lambda_w (1 + a_w^2)}{2\gamma^2 n};$$

The bunching on the nth harmonic becomes the fundamental.

If
$$a_w^2 >> 1$$
, $a_w^{II} = \frac{a_w}{\sqrt{n}}$

Superradiant emission in second wiggler from prebunched electrons

 $I \propto z^2$, N^2 see 3D simulations

Exponential gain? Good luck. Apparently never observed.



3D simulations (E.T.Scharlemann)

Table 1			
Simulation parameters			
Electron beam:			
Energy	300 MeV		
Current	300 A		
Normalized emittance			
(enclosing 90% of the current)	40π mm mrad		
Energy spread			
(enclosing 90% of the current)	0.5%		
Wiggler:			
Period	3 cm		
Overall length	< 20 m		
Signal:			
Fundamental	240 nm		
Input	100 W, focused at wiggler entrance		
Third harmonic	80 nm		

240 nm FEL buncher



Fig. 4. Power at 240 nm vs z in a 14 m wiggler section resonant at 240 nm, starting from 100 W of 240 nm input power. An exponential gain of 5.2 dB/m is evident.



88 kW after 20 m

80 nm FEL RADIATOR (SRHG)



Emittance limitation relaxed in SRHG! Good agreement with 1D

Exact Theory with Dispersive Section

R. Bonifacio, R. Corsini, P. Pierini, PRA 45, 4091 (1992)

$$\begin{cases} D \quad \frac{S}{4}(-B_0); \quad \frac{S}{2}(B_0); \quad \frac{S}{4}(-B_0) \quad \text{total } S \qquad \text{Gallardo, Pellegrini,} \\ \text{NIM A 296, 448 (1990)} \end{cases} \\ D = \frac{1}{48} \rho k \left(\frac{eB_0}{mc\gamma}\right)^2 S^3 \qquad \text{Free space} \quad D = \frac{L}{L_g (1 + a_w^2)} \\ \text{Assuming gaussian momentum spread } \sigma = \frac{\Delta \gamma}{\rho \gamma} \\ \left| b_n(\overline{z}) \right| = \left| \left\langle e^{-in(\theta + Dp)} \right\rangle \right| = e^{-n^2 D^2 \sigma^2 / 2} \left| J_n \left(\frac{2}{3} n A_0 \left(D^2 + \sqrt{3}D + 1 \right)^{1/2} e^{\sqrt{3}\overline{z} / 2} \right) \right| \\ \text{i)} \qquad \left| J_n \right| < 1 \Rightarrow \sigma \le 1/nD \\ \text{ii)} \qquad \text{for } x < 1, \ D = 0, \ J_n(x) \propto x^n \Rightarrow \left| b_n \right| \propto \left| b_1 \right|^n \end{cases}$$

iii) D=0, J_n decreases very slowly with n \Rightarrow large harmonic bunching Dispersive section convenient only if $\sigma < 0.1 \ (\Delta \gamma / \gamma < 0.1 \ \rho \approx 10^{-5})$



FIG. 1. Level curve of the bunching factor given by expression (28) as a function of the dimensionless length of the modulator \bar{z} (horizontal axis) and the dimensionless dispersive section strength parameter D (vertical axis). The parameters used here are $\sigma = 0.01$ and $A_0 = 0.01$.



FIG. 2. As Fig. 1, for the parameters $\sigma = 0.1$ and $A_0 = 0.01$. Dispersive section ineffective unless $\sigma \le 0.1$



FIG. 3. Bunching at the end of the dispersive section as a function of the dimensionless modulator length. The solid line was produced integrating the system of electron-field equation of Ref. [3]; the symbols are evaluated from the expression (28). The parameters used in this simulation are D = 2000, $A_0 = 10^{-3}$, and $\sigma = 10^{-4}$.



FIG. 5. As Fig. 3, but for the following set of parameters: D = 5, $A_0 = 10^{-2}$, and $\sigma = 0.2$.

Le prime 10 Bessel, tra 0 e 15



Х