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REMARKS ON THE TRIVIALITY PHENOMENA IN  
 $\lambda\phi^4$ -O(N)-FIELD THEORY

by

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## ABSTRACT

We formulate the  $\lambda\phi^4$ -0(N) - field theory in random path space. By making use of this random path formulation we offer a probabilistic-topological argument for the triviality phenomena of the quoted theory for  $D > 4$ .

Key-words: Triviality phenomena;  $\lambda\phi^4$ -0(N)-field theory; Random path space.

In the last years the analysis of the infinite's renormalization in quantum field theories have received a renewed attention due mainly to Aizeman<sup>1</sup>, Fröhlich<sup>2</sup> and Aragão et al.<sup>3</sup> rigorous work on the triviality phenomena of the (apparent non-renormalizable)  $\lambda\phi^4$ - $O(N)$ -field theory for a space-time with dimensionality greater than four. All these studies are based in a common idea: the lattice version of the Symanzik's path reformulation for the model<sup>4</sup>.

Our aim in this brief report is to present a path formulation of  $\lambda\phi^4$ - $O(N)$  (symmetric phase) suitable for the analysis of the triviality phenomena. In addition we make some remarks on the  $N \rightarrow 0$  "Polymer limit"<sup>5,6</sup>.

Let us start our study by considering the (bare) generating functional of the Green's functions of the  $O(N)$  (symmetric phase)  $\lambda\phi^4$  field theory in a  $D$ -dimensional Euclidean space-time

$$Z[J^a(x)] = \int \prod_{a=1}^N d\mu[\phi^a(x)] \cdot \exp\left\{-\frac{\lambda_0}{4} \int d^D x \left(\sum_{a=1}^N \phi^a(x)^2\right)^2(x) - \int d^D x \left(\sum_{a=1}^N J^a(x) \phi^a(x)\right)\right\} \quad (1)$$

where  $\phi^a(x)$  denotes a  $N$ -component real scalar  $O(N)$  field,  $(\mu_0, \lambda_0)$  the (bare) mass and coupling parameters and the Gaussian functional measure in (1) is

$$\prod_{a=1}^N d\mu[\phi^a(x)] = \prod_{a=1}^N \left[ \int_{\mathbf{x} \in \mathbb{R}^D} d\phi^a(x) \exp\left\{-\frac{1}{2} \int d^D x \left(\sum_{a=1}^N (\partial_\mu \phi^a)^2(x) + \mu_0^2 \sum_{a=1}^N (\phi^a)^2(x)\right)\right\}\right] \quad (2)$$

Now, in order to get an effective expression for the functional

integrand in (2), where we can evaluate the  $\phi^a$  functional integrations, we write the interaction  $\lambda\phi^4$  term in the following form

$$\exp\left\{-\frac{\lambda_0}{4} \int d^D x \left(\sum_{a=1}^N (\phi^a(x))^2\right)^2\right\} = \int d\mu[\sigma] \cdot \exp\left\{-i \int d^D x \sigma(x) \left(\sum_{a=1}^N (\phi^a(x))^2\right)\right\} \quad (3)$$

where  $\sigma(x)$  is an auxiliary scalar field and the  $\sigma$  functional measure in (3) is given by

$$d\mu[\sigma] = \left(\prod_{x \in CR^D} d\sigma(x)\right) \exp\left\{-\frac{1}{2} \int d^D x \frac{2}{\lambda_0} \sigma^2(x)\right\} \quad (4)$$

with covariance

$$\langle \sigma(x_1) \sigma(x_2) \rangle_\sigma = \int d\mu[\sigma] \sigma(x_1) \sigma(x_2) = \frac{\lambda_0}{2} \delta^{(D)}(x_1 - x_2) \quad (5)$$

The last result allows us to consider the  $\delta(x)$  field as a random gaussian potential with noise's strenght  $\frac{\lambda_0}{2}$ .

After substitution of (4) into (2), we can evaluate explicitly the  $\phi$ -functional integrations since they are of gaussian type. We, thus, get the result

$$Z[J^a(x)] = \int d\mu[\sigma] \text{Det}^{-N/2}(-\Delta + \mu_0^2 - 2i\sigma) \exp\left\{\frac{1}{2} \int d^D x d^D y J^a(x) (-\Delta + \mu_0^2 - 2i\sigma) \delta_{ab} J^b(y)\right\} \quad (6)$$

At this point of our study we implement the main idea: by following Symanzik's analysis<sup>4</sup>, we express the  $\sigma$ -functionals integrands in (6) as functionals defined on the Feynman-Kac-Wiener space of Random paths by making use of the well known random path

representation for the non-relativistic propagator of a particle of mass  $\mu_0$  in the presence of the external random gaussian potential  $\sigma(x)$ :<sup>7</sup>

$$(-\Delta + \mu_0^2 - 2i\sigma)^{-1}(x, y) = \int_0^\infty d\zeta G(x, y, \sigma)(\zeta) \quad (7)$$

$$\log \text{Det}(-\Delta + \mu_0^2 - 2i\sigma) = - \int_0^\infty \frac{d\zeta}{\zeta} \int d^D x G(x, x, \sigma)(\zeta) \quad (8)$$

where the non-relativistic propagator is given by

$$G(x, y, \sigma)(\zeta) = \int d\mu[w_{xy}^{(\zeta)}] e^{i \int d^D z \sigma(z) j(w_{xy}^{(\zeta)})(z)} \quad (9)$$

with the Feynman-Kac-Wiener path measure

$$d\mu[w_{xy}^{(\zeta)}] = \left( \prod_{0 < \alpha < \zeta} dw(\alpha) \right) \exp\left\{-\frac{1}{2} \int_0^\zeta \left(\frac{dw}{d\alpha}\right)^2 - \frac{1}{2} \mu_0^2 \zeta\right\} \quad (10)$$

$w(0) = x$   
 $w(\zeta) = y$

and the (random) world-line currents defined by

$$j(w_{xy}^{(\zeta)})(z) = \int_0^\zeta \delta^D(z - w_{xy}^{(\zeta)}(\alpha)) d\alpha \quad (11)$$

So, we obtain the proposed reformulation of  $\lambda\phi^4(N)$ -theory as a theory of random paths  $\{w_{xy}^{(\zeta)}(\alpha)\}$  in the presence of a random gaussian potential

$$\begin{aligned} Z[J^a(x)] &= \int d\mu[\sigma] \cdot \exp\left\{\frac{N}{2} \int_0^\infty \frac{d\zeta}{\zeta} \int d^D x \left[ d\mu[w_{xx}^{(\zeta)}] \right. \right. \\ &\exp\left\{i \int d^D z \sigma(z) j(w_{xx}^{(\zeta)})(z)\right\} \exp\left\{\frac{1}{2} \int d^D x d^D y \sum_{a=1}^N J_a(x) \left[ \int_0^\infty \frac{d\zeta}{\zeta} \left[ d\mu[w_{xy}^{(\zeta)}] \right. \right. \right. \\ &\left. \left. \exp\left\{i \int d^D z \sigma(z) j(w_{xy}^{(\zeta)})(z)\right\} \right] \delta_{ab} \cdot J_b(y)\right\} \end{aligned} \quad (12)$$

We shall use the random path formulation (12) to analyse the correlation functions of the  $\lambda\sigma^4$  theory. As a useful remark, we note by using (12) that the general k-point (bare) correlation function possesses the general structure

$$\langle \phi_{i_1}(x_1) \dots \phi_{i_k}(x_k) \rangle_\phi = \begin{cases} 0 & \text{if } k=2j+1 \\ \sum_{\ell\text{-pairings}} \langle \phi_{i_1}(x_{\ell_1}) \phi_{i_2}(x_{\ell_2}) \rangle_\phi \dots \langle \phi_{i_{k-1}}(x_{\ell_{2j-1}}) \phi_{i_k}(x_{\ell_{2j}}) \rangle_\phi & \text{if } k=2j \end{cases} \quad (13)$$

where the quantum averages  $\langle \rangle_\phi$  in (13) are defined by the  $\lambda\phi^4$  partition functional  $Z[0]$  (see eq.(1) with  $J^a(x) \equiv 0$ ).

Because of this result, we have solely to study the properties of the 2-point correlation function

$$\langle \phi_{i_1}(x_1) \phi_{i_2}(x_2) \rangle_\phi = \delta_{i_1 i_2} \left\langle \int_0^\infty d\tau \int d^D x \int d\mu[w_{x_1 x_2}^{(\zeta)}] \cdot \exp\left\{i \int d^D z \sigma(z) \cdot j(w_{x_1 x_2}^{(\zeta)})(z)\right\} \right. \\ \left. \exp\left\{\frac{N}{2} \int_0^\infty \frac{d\zeta}{\zeta} \int d^D x \int d\mu[w_{xx}^{(\zeta)}] \cdot \exp\left\{i \int d^D z \sigma(z) j(w_{xx}^{(\zeta)})(z)\right\}\right\} \right\rangle_\sigma \quad (14)$$

Let us evaluate the  $\sigma$ -functional averages  $\langle \rangle_\sigma$  in eq.(14) (see eq.(4) and eq.(5)). For this task we expand the "close path term" in powers of  $N$ . Explicitly

$$\langle \phi_{i_1}(x) \phi_{i_2}(y) \rangle = \delta_{i_1 i_2} \sum_{k=0}^{\infty} \left(\frac{N}{2}\right)^k \left\{ \prod_{\ell=1}^k \int_0^\infty \frac{d\zeta_\ell}{\zeta_\ell} \int d^D x_\ell \right. \\ \left. \int d\mu[w_{x_\ell x_\ell}^{(\zeta_\ell)}] \cdot \int_0^\infty d\zeta \cdot \int d\mu[w_{xy}^{(\zeta)}] \cdot \exp\left\{i \sum_{\ell=1}^k \int d^D z_\ell \sigma(z_\ell) \cdot j(w_{x_\ell x_\ell}^{(\zeta_\ell)})(z_\ell)\right\} \right. \\ \left. + i \int d^D z \sigma(z) j(w_{xy}^{(\zeta)})(z)\right\} \rangle_\sigma \quad (15)$$

and since the  $\sigma$ -average in eq. (15) is of the gaussian type we can perform it exactly. The result reads:

$$\begin{aligned}
 \langle \phi_{i_1}(x) \phi_{i_2}(y) \rangle_{\Phi} &= \delta_{i_1 i_2} \sum_{k=0}^{\infty} \left(\frac{N}{2}\right)^k \left\{ \prod_{\ell=1}^k \int_0^{\infty} \frac{d\zeta_{\ell}}{\zeta_{\ell}} \cdot \int d^D x_{\ell} \right\} d\mu[w_{x_{\ell} x_{\ell}}^{(\zeta_{\ell})}] \\
 &\int_0^{\infty} d\zeta \cdot \int d\mu[w_{xy}^{(\zeta)}] \cdot \exp\left\{-\frac{\lambda}{4} \left[ (2 \cdot \sum_{\ell \neq \ell'}^k \int_0^{\zeta_{\ell}} d\alpha_{\ell} \cdot \int_0^{\zeta_{\ell'}} d\alpha_{\ell'} \cdot \delta^{(D)}(w_{x_{\ell} x_{\ell}}^{(\zeta_{\ell})}(\alpha_{\ell}) - \right. \right. \right. \\
 &w_{x_{\ell'} x_{\ell'}}^{(\zeta_{\ell'})}(\alpha_{\ell'})) + \left. \left. \left( \sum_{\ell=\ell'}^k \int_0^{\zeta_{\ell}} d\alpha_{\ell} \int_0^{\zeta_{\ell}} d\alpha_{\ell'} \delta^{(D)}(w_{x_{\ell} x_{\ell}}^{(\zeta_{\ell})}(\alpha_{\ell}) - w_{x_{\ell} x_{\ell}}^{(\zeta_{\ell})}(\alpha_{\ell'})) \right) \right. \right. \\
 &+ \left. \left. \left( 2 \cdot \sum_{\ell=1}^k \int_0^{\zeta_{\ell}} d\alpha_{\ell} \cdot \int_0^{\zeta} d\alpha \delta^{(D)}(w_{x_{\ell} x_{\ell}}^{(\zeta_{\ell})}(\alpha_{\ell}) - w_{xy}^{(\zeta)}(\alpha)) \right) + \right. \right. \\
 &\left. \left. \left( \int_0^{\zeta} d\alpha \int_0^{\zeta} d\alpha' \delta^{(D)}(w_{xy}^{(\zeta)}(\alpha) - w_{xy}^{(\zeta)}(\alpha')) \right) \right] \right\} \quad (16)
 \end{aligned}$$

The above expression is the two-point correlation function of the  $\lambda\phi^4 - 0(N)$ - theory expressed as a system of interacting random paths with a repulsive self-interaction at these points where they cross themselves. It is instructive to point out that (16) is related to the continuous version of the Aizenman  $Z^D$ -lattice two-point correlation function.

Now we can offer a topological explanation for the theory triviality phenomenon for  $D > 4$ . At first, we note that the correlation function (17) will differ from the free one, namely

$$\langle \phi_{i_1}(x) \phi_{i_2}(y) \rangle_{\text{FREE}} = \delta_{i_1 i_2} \left( \int_0^{\infty} d\zeta d\mu[w_{xy}^{(\zeta)}] \right) \quad (17)$$

if the path intersections implied by the delta functions in (16) are non-empty sets in the  $R^D$  space-time. We intend to argue that these intersection sets are empty for space-time with dimensionality greater than four. At first we recall some well-known

concepts of topology<sup>8</sup>: the topological Hausdorff dimension of a set  $A$  embedded in  $R^D$  is  $d$  (with  $d$  being a real number) if the minimum number of  $D$ -dimensional spheres of radius  $\gamma$  needed to cover it, grows like  $\gamma^{-d}$  when  $r \rightarrow 0$ . The rule for (generic) intersections for sets  $A$  and  $B$  (both are embedded in  $R^D$ ) is given by

$$d(A \cap B) = d(A) + d(B) - D \quad (18)$$

where a negative Hausdorff dimension means no (generic) intersection or equivalently the set  $A \cap B$  is empty.

As is well known the Hausdorff dimension of the random paths in (16) is 2.<sup>8,9</sup> A direct application of the rule (18) gives us that the intersection sets in (16) possesses a Hausdorff dimension  $4-D$ . So, for  $D > 4$  these sets are empty and leading to the triviality phenomenon (see eq. (17)).

Next, we consider the "Polymer" limit  $N \rightarrow 0$ .<sup>3,5,6</sup> As the number of field components is displayed as a parameter in the model's correlation functions, we can take straightforwardly the above limit. For instance:

$$\begin{aligned} & \langle \phi_{i_1}(x) \phi_{i_2}(y) \rangle_{\phi}^{(N \rightarrow 0)} \\ &= \int_0^{\infty} d\zeta \int d\mu [w_{xy}^{(\zeta)}] e^{-\frac{\lambda}{4} \int_0^{\zeta} d\alpha \int_0^{\zeta} d\alpha' \delta^{(D)}(w_{xy}^{(\zeta)}(\alpha) - w_{xy}^{(\zeta)}(\alpha'))} \end{aligned} \quad (19)$$

We note that the (19) is nothing more than the probability of a polymer  $\{w_{xy}^{(\zeta)}(\alpha)\}$  of length  $\alpha$  starting at the point  $x$  hits the point  $y$  with the self-suppressing Edward interaction<sup>5,6</sup>.

Finally we make some comments on the analyses of the divergencies in the random path expression (16) for  $D \leq 4$ . As a first ob-



ervation we note that all the path integrals involved in (16) can be exactly evaluated by making a power series in  $\lambda_0$ .<sup>5, 10</sup> The resulting proper-times  $\zeta$  integrals will in general be divergents. By using a regularization (such as a cut off for small proper-times) one can show that the divergencies can be absorbed by a renormalization of the bare mass  $\mu_0$  and the action path term in (16) (or equivalently, a wave-function and  $\lambda_0$ -coupling renormalization in the field formulation eq.(1)), closer to the renormalization "polymer" procedure exposed in <sup>5</sup>.

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